

PC = Previously Cited

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




**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 9**Complete if Known**

Application Number	09/691,392
Filing Date	17 October 2000
First Named Inventor	Katz, et al.
Art Unit	3627
Examiner Name	McClellan, James S.
Attorney Docket Number	PAT-009C

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US- 6317718 B1	11-03-2001	Fano, Andrew E.	
	2	US- 6298331 B1	10-02-2001	Walker, Jay S., et al.	
	3	US- 6330548 B1	12-11-2001	Walker, Jay S., et al.	
	4	US- 6321221 B1	11-20-2001	Bieganski, Paul	
	5	US- 6317723 B1	11-13-2001	Walker, Jay S., et al.	
	6	US- 6397193 B1	05-28-2002	Walker, Jay S., et al.	
	7	US- 6369840 B1	04-09-2002	Barnett, Theodore H., et al.	
	8	US- 6434231 B2	08-13-2002	Neyman, Igor, et al.	
	9	US- 6405174 B1	06-11-2002	Walker, Jay S., et al.	
	10	US- 6336104 B1	01-01-2002	Walker, Jay S., et al.	
	11	US- 6334113 B1	12-25-2001	Walker, Jay S., et al.	
	12	US- 6334112 B1	12-25-2001	Walker, Jay S., et al.	
	13	US- 5790935	08-04-1998	Payton, David W.	
	14	US- 5749081	05-05-1998	Whiteis, David E.	
	15	US- 5774670	06-30-1198	Montulli, Lou	
	16	US- 5748907	05-05-1998	Crane, Harold E.	
	17	US- 5748908	05-05-1998	Yu, Mason K.	
	18	US- 5790793	08-04-1998	Higley, Thomas	
	19	US- 2002/0012428 A1	01-31-2002	Neyman, Igor, et al.	

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		Country Code <sup>4</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	75	WO 99/66438	12-23-1999	Walker, Jay S., et al.		
	76	2,222,229	11-25-1997	Gregory, James McKanna		
	77	WO 98/33135	07-30-1998	Chislenko, Alexander, et al.		
	78	WO 99/11006	03-04-1999	Van Luchene, Andrew		
	79	WO 99/11007	03-04-1999	Walker, Jay S., et al.		
	80	WO 99/19809	04-22-1999	Walker, Jay S., et al.		

Examiner  
Signature

James S. McClellan

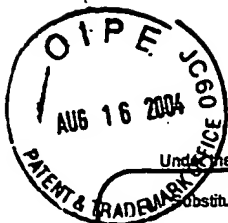
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10/19/04

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Sheet 2 of 9

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	20	US- 2002/0091632 A1	07-11-2002	Turock, David L., et al.	
	21	US- 5231571	07-27-1993	D'Agostino, Richard J.	
	22	US- 4992940	02-12-1991	Dworkin, Ross E.	
	23	US- 4947028	08-07-1990	Gorog, Jonathan M.	
	24	US- 6223163 B1	04-24-2001	Van Luchene, Andrew S.	
	25	US- 2002/0077960 A1	06-20-2002	Kiely, Neil J., et al.	
	26	US- 2002/0026394 A1	02-28-2002	Savage, Patrick, et al.	
	27	US- 5742929	04-21-1998	Kallman, Robert, et al.	
	28	US- 5740549	04-14-1998	Reilly, James P., et al.	
	29	US- 5727163	03-10-1998	Bezos, Jeffrey P.	
	30	US- 5319542	06-07-1994	King, Jr, John E., et al.	
	31	US- 5209665	05-11-1993	Billings, Zeb, et al.	
	32	US- 5459306	10-17-1995	Stein, Buddy, et al.	
	33	US- 5235509	08-10-1993	Mueller, Raymond J., et al.	
	34	US- 5745681	04-28-1998	Levine, Fredrick E., et al.	
	35	US- 5870724	02-09-1999	Lawlor, Matthew P., et al.	
	36	US- 6161103	12-12-2000	Rauer, Allon, et al.	
	37	US- 6167383	12-26-2000	Henson, Ken	
	38	US- 6169985 B1	01-02-2001	Almgren, Jonas, et al.	

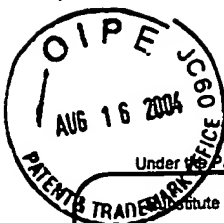
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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	81	EP 0751471 A1	01-02-1997	Lashkari, Yezdezard Z., et al.		
	82	EP 0265083 A1	09-22-1987	Yourick, Denise A.		
	83	WO 99/10794	03-04-1999	Walker, Jay S., et al.		
	84	WO 98/44699	10-08-1998	Bondarenko, Oleg, et al.		
	85	GB 2336925 A	02-05-1999	Ogasawara, Nobuo		
	86	WO 00/00916	01-06-2000	Solomon, Robert S.		

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	39	US- 6185558 B1	02-06-2001	Bowman, Dwayne, et al.	
	40	US- 6085164	07-04-2000	Smith, Barry C., et al.	
	41	US- 6266649 B1	07-24-2001	Linden, Gregory D., et al.	
	42	US- 6198739 B1	03-06-2001	Neyman, Igor, et al.	
	43	US- 6086477	07-11-2000	Walker, Jay S., et al.	
	44	US- 6088686	07-11-2000	Walker, Darcy, et al.	
	45	US- 6108493	08-22-2000	Miller, Bradley N., et al.	
	46	US- 5905974	05-18-1999	Fraser, Stuart A., et al.	
	47	US- 5926796	07-20-1999	Walker, Jay S., et al.	
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	49	US- 6029141	02-22-2000	Bezos, Jeffrey P., et al.	
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	51	US- 5905973	05-18-1999	Yonezawa, Kay, et al.	
	52	US- 5909492	06-01-1999	Payne, Andrew C., et al.	
	53	US- 6064980	05-16-2000	Joacobi, Jennifer A., et al.	
	54	US- 5855008	12-29-1998	Goldhaber, A. Nathaniel, et al.	
	55	US- 5721832	02-24-1998	Westrope, Robert J., et al.	
	56	US- 5636346	06-03-1997	Saxe, Andrew N.G.	
	57	US- 5201010	04-06-1993	Deaton, David W., et al.	

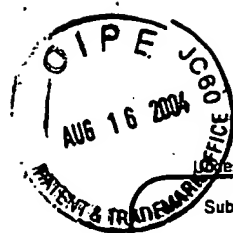
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	87	WO 98/40832	09-17-1998	Chislenko, Alexander, et al.		
	88	EP 0827063 B1	11-13-2002	Jorna, Gerardus C., et al.		
	89	WO 00/21005	04-13-2000	Walker, Jay S., et al.		
	90	WO 00/33222	06-08-2000	Williams, Humphrey N.S., et al.		
	91	WO 01/93119 A1	12-06-2001	Urpani, David		
	92	WO 01/37193 A1	05-25-2001	Sarwar, Badrul, M., et al.		

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Sheet 4 of 9

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Art Unit	3627
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Attorney Docket Number	PAT-009C

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Jr	58	US- 5794209	08-11-1998	Agrawal, Rakesh, et al.	
	59	US- 2002/0188511 A1	12-12-2002	Johnson, Christopher, et al.	
	60	US- 6337904 B1	01-08-2002	Gisby, Doug	
	61	US- 5490060	02-06-1996	Malec, John, et al.	
	62	US- 5481294	01-02-1996	Thomas, William, et al.	
	63	US- 6081788	06-27-2000	Appleman, Kenneth, et al.	
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	65	US- 5740035	04-14-1998	Cohen, Gerald B., et al.	
	66	US- 6256614 B1	07-03-2001	Wecker, Jeff H., et al.	
	67	US- 5758257	05-26-1998	Herz, Frederick, et al.	
	68	US- 6332126 B1	12-18-2001	Peirce, Roger L, et al.	
	69	US- 6128663	10-03-2000	Thomas, C. D.	
	70	US- 6266668 B1	07-24-2001	Vanderveldt, Ingrid V., et al.	
	71	US- 6026370	02-15-2000	Jermyn, Michael G.	
	72	US- 5740252	04-14-1998	Minor, Halsey M., et al.	
	73	US- 6298348 B1	10-02-2001	Eldering, Charles A.	
	74	US- 6236978 B1	05-22-2001	Tuzhilin, Alexander S.	
	94	US- 5999914	12-07-1999	Blinn, Arnold, et al.	
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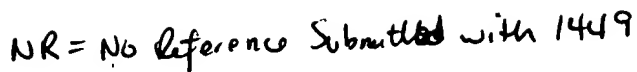
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	93	WO 02/25800 A2	03-28-2002	Haughwout, James Paul		
	95	WO 97/20279	06-05-1997	Nash, Paul, et al.		
	96	WO 02/10203 A2	03-07-2002	Gregov, Andrej, et al.		
	97	WO 00/38122	06-29-2000	Walker, Jay C., et al.		
	98	WO 00/34910	06-15-2000	Simoudis, Evangelos, et al.		
	99	WO 01/24032 A2	04-05-2001	Raucer, John, et al.		

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	100	WO 00/51050	08-31-2000	Bongiovanni, Michele, et al.	
	101	WO 00/10069	02-24-2000	Walker, Jay S., et al.	
	102	WO 08/43149	01-01-1998	Walker, Jay C., et al.	
	100	WO 01/37183 A1	05-25-2001	Berg, Alan S., et al.	

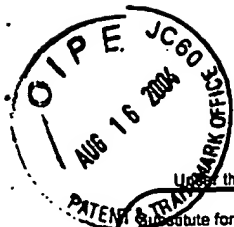
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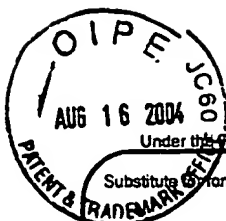
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Examiner Signature	James S. McClellan	Date Considered	10/19/04
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		Filing Date	17 October 2000
		First Named Inventor	Katz, et al.
		Art Unit	3627
		Examiner Name	McClellan, James S.
Sheet <u>7</u>	of <u>9</u>	Attorney Docket Number	PAT-009C

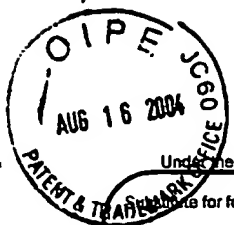
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	123	MALTZ, DAVID, Pointing the Way: Active Collaborative Filtering, CHI '95 Proceedings, 1995, Abstract.	NR

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	132	THEARLING, KURT, From Data Mining To Database Marketing, DIG White Paper, 10-01-1995.	
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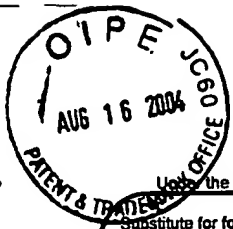
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